Hualapai Planning & Economic Development Department

Request for Proposal for Hualapai Master Plan Facilitation

Overview:

The Hualapai Planning Department (Planning) is charged with the responsibility to oversee the creation and management of planning and economic development activities for the Hualapai Tribe.

The Hualapai Tribe is seeking a consultant to assist Planning with the update and adoption of the tribe's master plan by providing facilitation and documentation for a dozen planned public meetings over the course of 12 to 14 months. In addition, the consultant will review and provide comments on meeting materials and public outreach and engagement methods developed by Planning for the public meetings.

All proposals submitted in response to this RFP must conform to the requirements and specifications outlined in this document in its entirety.

The RFP provides Indian Preference but is not limited to Indian Owned Enterprises only. (see Indian Preference Statement and Requirements –Attachment D)

RFP INFORMATION AT A GLANCE

Planning Contact Person Mr. Kevin A. Davidson, Director

Phone 928-769-1310

e-mail <u>kevin.davidson@hualapai-nsn.gov</u>

Address PO Box 179

Peach Springs, AZ 86434

RFP Submittal Hualapai Planning Department

887 Highway 66

PO Box 179

Peach Springs, AZ 86434

Proposal submittals will be accepted via e-mail

Proposal Submittal Deadline 4:00 pm, Wednesday, February 22, 2023

Not to Exceed Limits:

Contract Time Period March 2023, to April 2024

Publication date: January 23, 2023

Hualapai Tribe's Reservation of Rights Notice

- The Hualapai Tribe reserves the right to reject any and all proposals, to waive any informality in the RFP process, or to terminate the RFP process at any time.
- The Hualapai Tribe reserves the right to not award a contract pursuant to this RFP.
- The Hualapai Tribe reserves the right to terminate a contract awarded pursuant to this RFP, at any time for its convenience upon fourteen (14) days written notice.
- The Hualapai Tribe reserves the right to negotiate the fees proposed by the applicant/entity
- The Hualapai Tribe reserves the right to reject and not consider any proposal that does not meet
 the requirements of this RFP, including but not-necessarily limited to: incomplete proposals
 and/or proposals offering alternate or non-requested services.
- The Hualapai Tribe shall have no obligation to compensate any applicant for any costs incurred in responding to this RFP.

Introduction:

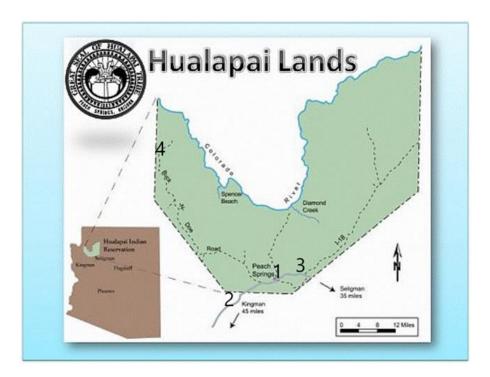
The Hualapai "People of the Tall Pines" have some 2,400 enrolled members with approximately 1,300 members living on the Reservation and in Valentine. Peach Springs is the seat of tribal government. The tribe operates the Skywalk at Grand Canyon West under the management of the Grand Canyon Resort Corporation, a Hualapai corporation overseen by tribal council.

The Hualapai Reservation comprises approximately one million acres established by Executive Order in 1883. The traditional Hualapai homeland stretched from the Grand Canyon southward to the Bill Williams and Santa Maria Rivers and from the Black Mountains eastward to the San Francisco Peaks located near what is today Flagstaff, Arizona, an area of seven million acres. The tribe has several off-reservation trust and allotment lands notably 800-acres in Valentine, Arizona, and along US Highway 93 in the Big Sandy Valley, including 200 acres held in fee at Cholla Canyon near Wikieup, Arizona.

The creation of the Master Plan is authorized under Article XI, Section 3, of the Constitution of the Hualapai Tribe approved on March 13, 1991, "A comprehensive land use ordinance shall be adopted as soon as possible after adoption of the Constitution. The ordinance shall include sections on timber management and fuel wood cutting, zoning, wildlife management, cattle management, and other natural resources management."

The Master Plan was originally funded by a Rural Housing and Economic Development (RHED) grant and began in 2009 under the guidance of the Planning and a team of consultants. This effort ended when the consultant contracts expired in 2012. The tribe has recently acquired additional grant monies to continue with the planning effort.

Over the past decade the tribe has adopted plans for transportation, transit, energy development, specific land uses, established a tribal utility authority and just recently settled its water right to the Colorado River which will bring water to both Peach Springs and Grand Canyon West in the next decade.



I. SCOPE OF PROPOSAL

The Hualapai Planning Department (Planning) will receive proposals for professional services that meet the program's objectives as follows:

- Meeting Strategy and Materials Review Schedule virtual meetings with Planning to develop strategies in advance of each of the 12 anticipated public meetings to identify goals and appropriate engagement methodologies. Consultant will review and provide comments on materials developed by Planning for each public meeting.
- 2) Facilitate Public Meetings Attend and facilitate each of the up to 12 in-person public meetings in Peach Springs, Arizona, to support the Master Plan's development.
- 3) Public Meeting Documentation Provide written summary notes from each public meeting that consultant attends within two weeks of the meeting, which will include the names of the attendees, materials presented, and comments received from meeting attendees.
- 4) Project Management Schedule one kick-off meeting with Planning at the start of the Master Plan public involvement effort to discuss and agree upon project understanding, expectations, and schedule. Consultant will also submit invoices for review and payment by Planning.
- 5) Additional Services As needed subject to contract amendment.

A tribal selection committee will be established to choose the qualified individual or firm based on the applicant's experience and expertise in working directly with tribes and local governments in facilitating their planning efforts. The period of service shall begin on or about March 15, 2023, and continue until about April 15, 2024, or until the contract with the selected provider is terminated. Applicants are required to provide their current hourly rates along with a fee schedule for the scope of services to be provided as well as travel and reproduction expenses.

II. PROPOSAL FORMAT

The Planning and Economic Development Department intends to retain the successful applicant on a "Best Value" basis and qualification evaluation, not a "Low Bid" basis, so the Planning Department can properly evaluate the proposals received. All proposals submitted in response to this RFP must be formatted in accordance with the sequence noted below. Each category must be separated by index dividers numbered and labeled with the corresponding Tab reference also noted below. None of the proposed services may conflict with any requirement Planning has published herein or has issued by addendum.

- Tab 1: Form of Proposal: The Form of Proposal is attached and incorporated by reference as Attachment A. It must be fully completed, executed and submitted as a part of the proposal.
- Tab 2: Profile of Firm Form: The Profile of the Firm Form is attached and incorporated by reference as Attachment B. It and its required attachments must be fully completed, executed and submitted as a part of the proposal.
- Tab 3: Proposed Service Description: As more fully detailed within Section I, Scope of Proposal, Subsection A through C. Provide a narrative description of all services proposed to be provided as well as a description of the plan and/or methods that the applicant will utilize to deliver the proposed services.
- Tab 4: Proposed Fees Form: The Proposed Fees Form is attached and incorporated by reference as Attachment C. It must be fully completed, signed, and submitted as a part of the proposal.
- Tab 5: Managerial Capacity: The applicant/entity must submit a concise description of its managerial capacity to deliver the proposed services. Resumes of key personnel should be included under this tab.

Tab 6: Client List: The applicant/entity must submit a list of at least three (3) former or current clients for whom the applicant has performed similar or like services to those being proposed within the past three years. The list must include:

- Client's name
- Client's contact name
- Client's phone number
- A description of services provided

Tab 7: Subcontractor/Joint Venture Information: Describe whether or not the applicant intends to use any subcontracts for this job, if awarded, and/or if this proposal is a joint venture with another firm. Please note that all information required from the applicant under the preceding tabs is also required for any major subcontractors (10% or more) and for any joint venture partners.

Tab 8: Indian Preference Documentation: For any entity claiming an Indian Preference, they shall include any completed certification forms and required attachments that substantiate the percentage of Indian ownership of the entity.

Tab 9: Other Information: The applicant/entity may include any other general information that the applicant believes is appropriate to assist the selection committee in it evaluation such as letters of recommendation and relevant project descriptions.

III. PROPOSAL SUBMISSION

Hard copy proposals must be submitted and time-stamped received in the Planning Office no later than the submittal deadline stated herein or within any ensuing addendum. Three copies of the proposal submittal including one original signature copy shall be placed in a sealed package addressed as follows:

Hualapai Planning & Economic Development Department Attention: Mr. Kevin A. Davidson, Director 887 Highway 66 PO Box 179 Peach Springs, AZ 86434

Package exterior must clearly denote:

HUALAPAI MASTER PLAN FACILITATION, RFP 01-2023 - HUALAPAI PLANNING

Submittals may be e-mailed to kevin.davidson@hualapai-nsn.gov as well.

Proposals submitted after the published deadline will not be accepted.

A. Submission Conditions:

Applicants shall not change the Conditions or Specifications contained herein by making or entering onto these documents or the documents submitted any revisions or additions; and if any such additional marks, notations, or revisions are entered on any of the documents that are submitted to Planning by the applicant, such may invalidate that proposal. If, after accepting such a proposal, the Planning Department decides that any such entry has not changed the intent of the proposal that the Planning intended to receive, the Planning Department may accept the proposal and the proposal shall be considered by the Planning Department as if those additional marks, notations or revisions were not entered on such.

By requesting the proposal documents, each such prospective applicant is agreeing to confirm (by signing and returning by e-mail or FAX) all notices that the Planning Department delivers to him/her, and by submitting a proposal, the applicant is thereby agreeing to abide by all terms and conditions published herein and by addendum pertaining to this RFP.

B. Submission Responsibilities:

It shall be the responsibility of each such applicant to be aware of and abide by all dates, times, conditions, requirements, and specifications set forth within all applicable documents issued by the Planning and Economic Development Department including the RFP, the required Proposal Format, and any addenda published by the Department. By virtue of completing, signing, and submitting the completed documents, the applicant is stating their agreement to comply with the instructions, conditions, and requirements set forth within those documents. Written notice from the applicant not authorized in writing by the Planning and Economic Development Department, to exclude any of the Planning Department requirements contained within the documents, may cause that applicant to not be considered.

IV. PROPOSAL EVALUATION CRITERIA

A. Evaluation Criteria: The following criteria will be utilized by Planning and Economic Development Department to evaluate each proposal submitted:

Criterion 1-30 Points – Specialized Knowledge and Experience and Technical Competence that the applicant displays for the work required based upon the work history and the resumes submitted for the staff proposed to perform the work. The past performance of the applicant on prior projects of the same or similar nature, based on the letters of reference and/or the client lists submitted, and based upon the results of any consultation that Planning chooses to conduct with such.

Criterion 2-25 Points – Capability that the applicant displays in that the proposal submitted shows: (a) A knowledge and understanding of the scope of the work to be performed; (b) a realistic approach to the performance of the required work.

Criterion 3 – 16 Points – Experience of the firm and staff familiarity with the unique situation of Indian Tribes in general and specifically the Hualapai Indian Tribe. Emphasis will be placed on current experience in working with Indian Communities and demonstrated sensitivity to tribal issues and innovative solutions. This includes the firm's ability to deal administratively with Indian Tribes and federal agencies.

Criterion 4 – 20 Points – Costs of the proposed services.

Criterion 5 – 9 Points - Indian Owned Enterprise.¹

_

¹ For any applicant claiming Indian Preference, the applicable information shall be entered where provided on the Form of Proposal. Planning shall retain the right to deny to any applicant any Indian Preference claimed, if in the Hualapai Planning Department

B. Evaluation Method

Planning shall establish a panel to evaluate each proposal submitted in response to this RFP in accordance with the evaluation criteria referenced in Section IV-A of this document. The evaluation methods utilized by the panel may include reports from oral interviews of each applicant's references.

All persons having a familial (including in-laws) and/or employment relationships (past or current) with principals and/or employees of an applicant/entity will be excluded from participation on the Planning evaluation panel. Similarly, all persons having ownership interest in and/or a contract relationship with an applicant/entity will be excluded from participation on the Planning evaluation panel.

C. Contract Award Procedure

If a contract is awarded pursuant to this RFP, the following procedure will be followed.

Upon completion of the Proposal Evaluation Process, the selection committee will forward its conclusion and recommendation to the Tribal Council for approval. This should be within 45-days of the bid due date. Upon Council approval, the Contracts and Grants Department will prepare the Standard, Hualapai Professional Services Agreement to which this RFP and the selected entity's proposal shall be attached and incorporated therein. By submitting a proposal, and executing the Professional Services Agreement, the selected entity is agreeing to be bound by the terms and conditions set forth in the Professional Services Agreement and this RFP. A copy of the Standard Hualapai Professional Services Agreement is included herein as Attachment E. Notice to Proceed is expected to occur within 30 days of contract award. Those entities not selected will be notified after the Council makes the contract award.

V. ATTACHMENTS

- A. Form of Proposal
- B. Profile of Firm Form
- C. Proposed Costs Form
- D. Indian Preference Form
- E. Hualapai Professional Services Agreement
- F. Draft Public Participation Plan

opinion of Planning, the applicant does not submit the appropriate justification required by Attachment D. Therefore, Planning shall not be able to accept any such additional documentation after the deadline for the receipt of proposals.

ATTACHMENT A

FORM OF PROPOSAL

Tab 1: Form of Proposal: This Form of Proposal —Attachment A, is attached hereto and incorporated herein. Requirements listed here must be fully completed, executed and submitted to constitute a complete proposal (please mark on Attachment A each Tab included in the proposal).

Tab 2: Profile of Firm Form: The Profile of Firm Form is attached hereto and incorporated herein by reference as Attachment B. It and its required attachments must be fully completed and executed and submitted as a part of the proposal. Include descriptions of relevant project experience behind the Profile of Firm Form under this Tab 2. Letters of reference and other supporting documentation should be included under Tab 9 - Other Information.

Tab 3: Proposed Service Description: As more fully detailed within Section I, Scope of Proposal, Subsection A through C. Provide a narrative description of all services proposed to be provided as well as a description of the plan and/or methods that the applicant will utilize to deliver the proposed services.

Tab 4: Proposed Fees Form: The Proposed Fees Form is attached hereto and incorporated herein by reference as Attachment C. It must be fully completed, signed, and submitted as a part of the proposal.

Tab 5: Managerial Capacity: The applicant entity must submit a concise description of its managerial capacity to deliver the proposed services. Include Resumes of key personnel under this tab.

Tab 6: Client List: The applicant entity must submit a list of at least three (3) former or current clients for whom the applicant has performed similar or like services to those being proposed, within the past three years. The list must include:

- Client's name
- Client's contact name
- Clients phone number
- A description of services provided

Tab 7: Subcontractor/Joint Venture Information: Describe hereunder whether or not the applicant intends to use any subcontracts for this job, if awarded, and/or if this Proposal is a joint venture with another firm. Please note that all information required from the applicant under the preceding Tabs must also be included for any major subcontractors (10% or more) and for any joint venture partners.

Tab 8: Indian Preference Documentation: For any entity claiming an Indian Preference, they shall include any completed certification forms and required attachments that substantiate the percentage of Indian ownership of the entity.

Tab 9: Other Information: The applicant entity may include any other general information that the applicant believes is appropriate to assist Planning in its evaluation.

ATTACHMENT B

PROFILE OF FIRM FORM

| (1) | Prime Subcontractor (This form must be completed by each) |
|------|--|
| (2) | Name of Firm: |
| (3) | Street Address: |
| (4) | City, State, Zip: |
| (5) | Phone: Fax : |
| (6) | Federal Tax ID Number |
| (7) | State Contractors License; State, Type, and Number |
| | |
| (8) | Year Firm Established: |
| (9) | Type of Ownership: |
| (10) | Former Name and Year Established (if applicable) |
| (11) | Name of Parent Company and Year Acquired (if applicable) |
| (12) | Identify Principals/ Partners in firm; submit brief resume for each under Tab 5. Name Title % Ownership Hualapai Planning Department |

| _ | |
|----------|---|
| (13) | Identify individual(s) that will act as project manager and any other supervisory personnel that will work on the project; submit brief resumes for each under Tab 5. Name Title |
| (14) | General Liability Insurance carrier and policy number Coverage Amount |
| (15) | Professional Liability Insurance carrier and policy number Coverage Amount |
| (16) | Debarred Statement: Has this firm or any of its principals ever been debarred from providing any services by the Federal Government, State Government, or Indian Tribe? Yes No If yes, please attach a full explanation including dates, circumstances, and current status. |
| (17) | Disclosure Statement: Does this firm or any principal of this firm have any current or past personal or professional relationship with any member of Planning staff or serving Hualapai elected officials? Yes No |

| (18) | Verification Statement: The undersigned applicant hereby states that by completing and submitting this form they are certifying that all information provided herein is, to the best of their knowledge, true and accurate, and that if Planning discovers that any information is false, that shall entitle Planning to not make and award or to cancel any award with the undersigned party. | | | | | |
|---------|--|-------|------|--|--|--|
| Si | gnature (Authorized Representative | Title | Date | | | |
| – Pr | inted Name | - | | | | |

ATTACHMENT C

PROPOSED COSTS FORM

PLEASE NOTE: Do not provide these costs in any other manner other than as detailed within this form. Costs shall be provided either on this form, or attached to this form. In either case, Costs are to be submitted only under Tab 4. Any applicant that submits proposed costs under any other tab will be rejected from consideration.

| Signat | ure (Authorized Representative) Title | | Date |
|--------|---|-----------|------|
| Comp | any Name | Address | |
| 5. | Total Cost | | |
| 4. | Costs for Other Expenses (copying, office supplie | es, etc.) | |
| 3. | Costs for Travel Expenses | | |
| 2. | Costs for Ancillary or Support Services | | |
| 1. | Costs for Professional Services | | |

ATTACHMENT D

INDIAN PREFERENCE FORM

| Indian | Preference | e Statement: |
|--------|------------|--------------|
| | | |

Indian Preference in Selection Process:

The work to be performed under this contract is on a project subject to section 7(b) of the Indian Self Determination and Education Assistance Act (25 U.S.C. 450 e(b)) (Indian Act). Section 7(b) requires that to the greatest extent feasible (A) preferences and opportunities for training and employment shall be given to Indians and (B) preferences in the award of contracts and sub-contracts shall be given to Indianowned economic enterprises.

The parties to contracts associated with this project shall comply with the provisions of section 7(b) of the Indian Act. In connection with this project, contractors shall, to the greatest extent feasible, give preference in the award of any sub-contracts to Indian organizations and Indian-owned economic enterprises, and preferences and opportunities for training and employment to Indians and Alaska Natives.

Contractors shall include this section 7(b) clause in every sub-contract in connection with the project, and shall, at the direction of the Tribe, take appropriate action pursuant to the sub-contract upon a finding by the Tribe, or HUD that a sub-contractor has violated section the 7(b) clause of the Indian Act.

PLEASE NOTE: It is not necessary to complete and submit this form and any of the noted items if you are not claiming Indian Preference.

CERTIFICATION FOR FIRMS SEEKING INDIAN PREFERENCE IN CONTRACTING AND DEMONSTRATION OF ABILITY: So that the PLANNING may assess your firm's eligibility to claim Indian Preference as noted above, in addition to other required items, please include with your submission as many of the following items as possible. Failure to include any of these items as evidence may result in denial by the PLANNING to certify your firm as an Indian owned company and therefore, ineligible to receive Indian Preference.

INDIAN ENTERPRISE QUALIFICATION STATEMENT

The Undersigned certifies under oath the truth and correctness of all answers to questions made hereinafter:

1. Applicant wishes to qualify as:

An "Economic Enterprise" as defined in Section 3(e) of the Indian Financing Act of 1974 (P.L. 93-262); that is "any Indian-Owned...commercial, industrial or business activity established or organized for the purpose of profit: Provided, that such Indian Ownership shall constitute not less than 51 percent of the enterprise:

--or--

A "Tribal Organization" as defined in Section 4(c) of the Indian Self-Determination and Education Assistance Act (P.L. 93-63 8); that is: "the recognized governing body of any Indian Tribe; any legally established organization of Indians which is controlled, sanctioned or chartered by such governing body or which is democratically elected by the adult members of the Indian community to be served by such organization and which includes the maximum participation of Indians in all phases of its activities: Provided, that in any case where a contract is let or grant made to an organization to perform services benefiting more than one Indian Tribe, the approval of each such Indian Tribe shall be a prerequisite to the letting or making of such contract or grant..."

| 2. Nan | Name of Enterprise or Organization: | | | | |
|--------|-------------------------------------|---------------|--|--|--|
| | Address: | | | | |
| | | | | | |
| | | | | | |
| | Telephone No.: | | | | |
| | | | | | |
| 3. | Check One: | | | | |
| | Corporation | Joint Venture | | | |
| | Partnership | Other: | | | |
| | Sole Proprietorship | | | | |
| | | | | | |

| If a Corporation | | | |
|-------------------------------------|--|----------------------------|----------------------|
| a. Date of i | ncorporation: | | |
| b. State of | incorporation: | | |
| c. Give the whether they are Indiar | names and addresses of the (I) or Non-Indian (NI). | ne officers of this Corpor | ration and establish |
| Name and | l or | | % of Stock |
| Social Security No. | NI Title | <u>Address</u> | Ownership |
| | President | | |
| | <u>Vice-President</u> | | |
| | <u>Secretary</u> or Clerk | | |
| | | | |
| | | | |
| | | | |
| | | | |

4. Answer the following:

| owning 0% or more of the | stock. Establ | ish whether they are Indian | (I) or Non-Indian (NI). |
|-----------------------------|---------------|-----------------------------|-------------------------|
| Name and | l or | | % of Stock |
| Social Security No. | NI | <u>Address</u> | <u>Ownership</u> |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| If a Sole Proprietorship or | Partnership: | | |
| | | | |
| a. Date of Organiz | ation: | | |
| b. Oire the fallourin | in f | | |
| are Indian (I) or Non-India | • | on the individual or partne | s and establish whethe |
| Name and I d | or | | % of Sto |
| Social Security No. N | <u>II</u> | <u>Address</u> | <u>Ownersh</u> |

| | | | | _ |
|---|-------------------|------------------|------------------------|---------------|
| | | | | |
| If a Joint Venture: | | | | |
| a. Date of Joir | nt Venture Agreer | ment: | | |
| b. Attach the in appropriate format give | | ach member of th | ne joint venture prepa | ared in the |
| 5. Give the name, add organization: | dress, and teleph | none number of | the principal spokesp | erson of your |
| | | | | |
| | | | | |
| 6. Has any officer or panother organization | | | | |

| If yes, state circumstances: | |
|---|---------------------------------------|
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| 7. Has this enterprise failed in the last ten years to complete the work on time? | complete any work awarded to it or to |
| If so, note when, where and why: | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| 8. Will any officer or partner listed in #4 be engage | d in out-side employment? |
| | |
| Yes | No |
| | |
| | |
| If Yes, complete: | |
| | |
| Hours Per Week | |
| Hours Per Week | |
| Name/Title | Outside the Enterprise |
| | |

| |
|------|
| |
| |
| |
| |
| |
| |
| |
| |

| If the enterprise or anyone liste ssued by any department or ager | | | | e sanction |
|---|-----------------------------|---------------------|--------------------------|------------|
| Yes | | No | | |
| If Yes, complete: | | | | |
| | Date of | Type of | Department | |
| Name of person/business | <u>Action</u> | <u>Action</u> | or Agency | |
| | | | | _ |
| | | | | |
| 10. Does this enterprise have any another concern? | [,] subsidiaries c | or affiliates or is | it a subsidiary or affil | iate of |
| Yes | | No | | |
| If Yes, complete: | | | | |
| Name and address of sub | sidiary, | | Description | |
| affiliate or other conce | <u>rn</u> | of F | <u>Relationship</u> | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

| agreement with any ot administration, manag to management, and journal provision of such comp | her concern or person which ement or operations of this e oint venture agreements and pensated services as admining of all types, marketing, pu | above have or intend to enter into any type of a relates to or affects the on-going enterprise? These include but are not limited any arrangement or contract involving the strative assistance, data processing, rchasing, production and other types of |
|--|---|---|
| | Yes | No |
| If yes, a intended agree | | agreement or an explanation of any oral or |
| 12. Has this enterprise (Federal, State, or Trib | | ment of any court or administrative sanction |
| | Yes | No |
| Has any individual liste sanction (Federal, Sta | - | judgment of any court or administrativeNo |
| If the ar | | on, furnish details in a separate attachment. |
| · · | | peen instituted against this enterprise or the er in their capacities with this enterprise or |
| | Yes | No |

| n listed in #4 ever been involved in a bankruptcy or |
|---|
| No |
| n attachment. |
| tal is available to your enterprise prior to the start of |
| |
| S: |
| |
| |

If yes, furnish details in a separate exhibit.

Include a copy of the Company's most recent audited financial statement.

| 16. How will p | project developmer | nt bookkeeping a | and payroll be m | aintained: (ch | eck one) | |
|----------------|--|------------------|------------------|----------------|-------------------|----|
| a. | By contract with a | an outside profe | ssional accounti | ng firm: | | |
| | Name: | | _ Address: | | | |
| | | | Telephone: | | | |
| b. | Records are to be If "b" has been ch function: | | | | nel to perform th | is |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| C. | Other: | | | | | |
| | | | | | | |
| 17. Trade Re | ferences (include a | addresses and p | hone numbers): | | | |
| | | | | | | |

| 18. Bank and credit references (including addresses and phone numbers): | |
|--|---------------|
| | |
| | |
| | |
| | |
| | |
| | |
| 19. Indicate the core crew employees in your work force, their job titles, and wheth Indian or Non-Indian. Core crew is defined as an individual who is either a current employee or who is not a current employee but who is regularly employed in a sup other key skilled position when work is available. | bonafide |
| | |
| | |
| | |
| | |
| | |
| | |
| b. Over the past three years, what has been the average number of employ | yees: |
| | |
| 20. Attach certification by a tribe or other evidence of enrollment in a federally reco | ognized tribe |

21. Attach a certified copy of the charter, article of incorporation, by-laws, partnership agreement, joint venture agreement and/or other pertinent organizational documentation..

- 22. Explain in narrative form the stock ownership, structure, management, control, financing, and salary or profit sharing arrangements of the enterprise, if not covered in answers to specific questions heretofore. Attach copies of all shareholder agreements, including voting trust, employment contracts, agreements between owners and enterprise. Include information on salaries, fees, profit sharing, material purchases, and equipment lease or purchase arrangements.
- 23. Evidence relating to structure, management, control, and financing should be specifically included. Also, list the specific management responsibilities of each principal, sole proprietor, partner, or party to a joint venture (as appropriate) listed in response to #4.
- 24. Attach evidence that the enterprise (or an individual in it) is appropriately licensed for the type of work that is to be performed. Include Federal ID Number.
- 25. Attach a brief resume of the education, technical training, business, employment, design and/or construction experience for each officer, partner or sole proprietor listed in #4. Include references.

| I. Omission of any information may be caus complete consideration. | e for this statement not receiving timely and |
|---|---|
| II. The persons signing below certify that all QUALIFICATION STATEMENT, including e | |
| III. Print and type name below all signatures | |
| If applicant is Sole Proprietor, Sign Below: | |
| Name | Date |
| If applicant is in a Partnership or Joint Ventu | ure, all Partners must sign below: |
| Name | Date |
| Name | Date |
| If applicant is a corporation, affix corporate | seal |
| Corporate Seal | |

NOTES:

| ву: | | |
|-----------------------|---------------------------------|------|
| President's Signature | | Date |
| Attested by: | | |
| | Corporate Secretary's Signature | Date |

WARNING: U.S. Criminal Code, Section 1010, Title 18, U.S.C. provides in part:

"Whoever...makes, passes, utters, or publishes any statement, knowing the same to be false...shall be fined not more than \$5,000 or imprisoned not more than two years, or both."

ATTACHMENT E

Hualapai Professional Services Agreement

HUALAPAI TRIBAL NATION

PROFESSIONAL SERVICE CONTRACT

| This Contract is made between the Hualapai Tribe, Planning Department, Peach Springs, AZ |
|--|
| 86434 (the "Tribe"), and,, an independent contractor, whose |
| address is(Tax ID. No.) (the "Contractor"). The |
| Tribe agrees to contract for the service of Contractor and Contractor agrees to provide services, under the terms and conditions of this Contract. |
| 1. Description of Services: |
| Contractor shall provide the following services to the Tribe: |
| Refer to proposal that lists Scope of Work to be accomplished. |
| (Scope of work attached to this contract) |
| 2. <u>Deliverables</u> : |
| (List deliverable products listed in Scope of work attached to this contract) |
| In addition to the work described in the Statement of Work, Attachment 1, |
| Contractor shall submit to the Tribe the following reports or other work products: |
| 3. Payment for Services. |
| In full consideration of the personal services to be provided under this Contract, the Tribe agrees to pay Contractor as follows: |
| [] the fixed sum of \$for the services described in Attachment 1, |
| Statement of Work, or; in (monthly) progress payments of \$, Not to exceed \$ |
| [] at the rate of\$ per hour, up to maximum of \$ |
| per day, for services described in Attachment 1, Statement of Work, but not to exceed the sum of \$ for all work under this Contract unless authorized in writing by the Tribe's ~ |
| As a precondition to receipt of any payments under this Contract, Contractor must provide the Tribe with invoices detailing all work performed under this Contract since the previous invoice. |

Such invoices shall include a detailed description of services provided in the time period since

| the last invoice was submitted. Invoices shall be submitted to the Tribe: [] bi-weekly, or [X] monthly, or [] upon completion of the Contract: Contractor will be paid monthly according to monthly invoices submitted for payment. Contract not to exceed \$ |
|---|
| Payment for approved invoices will be made within Thirty (30) days of receipt of such invoices by The Accounting Department of the Tribe. Final billing must be received within thirty (30) days of the completion or termination of this Contract. Contractor further agrees that final payment for his services will be made after a review of the work performed is completed by the Tribes Principal Contacts. If the work is found to be unsatisfactory, the Tribe reserves the right to withhold final payment indefinitely until all deficiencies are corrected. |
| 4. Period of Performance. |
| This Contract shall commence on, and shall end on, unless terminated earlier as provided in this Contract. This period of performance may be extended only by the written agreement of the Tribe and the Contractor. |
| Contractor agrees that he is solely responsible for beginning and completing this Contract by the dates specified in this Contract. |
| Contractor agrees that he shall be responsible for any costs to the Tribe associated with not completing this Contract by the scheduled ending date, unless unforeseen circumstances beyond control was caused by the management of the Tribe. |
| 5. Principal Contacts. |
| All notices under this Contract shall be sent to the following designated Principal Contacts under this Contract. The Tribe may change its Principal Contacts at any time by written notification. |
| Tribe's principal contact: |
| Contracting OfficerMr. Kevin A.Davidson |
| Director, Hualapai Planning Department |
| Compliance OfficerMs. Salena Siyuja |
| Hualapai Grants and Contracts Department |

| Contractor's principal contact: |
|---|
| Representative |
| Contractor and his work shall be monitored by the Planning Department , to determine whether the Contractor is in compliance with this Contract. |
| 6. Independent Contractor. |
| It is understood and agreed that Contractor is an independent contractor with respect to all work to be performed under this Contract, and that Contractor is not an agent or employee of the Tribe. It is further understood and agreed that Contractor is not authorized to act on behalf of the Tribe, and that actions of Contractor are not actions of the Tribe. |
| Contractor will be responsible for providing all tools and equipment necessary to perform the tasks associated with this contract. |
| Contractor will be responsible for paying all employees or subcontractors he hires to perform any of the work under this Contract. Contractor's employees and subcontractors are not the employees of the Tribe. Contractor is solely responsible for paying his employees and subcontractors and for any obligation to payor withhold any federal, state, tribal, or local taxed on the amounts Contractor pays to his employees and subcontractors. |
| Contractor will be responsible for payment of all applicable federal, state, tribal and local taxed, and/or special levies required under unemployment insurance, social security, income tax, and/or other laws, with respect to Contractor's performance of his obligations and receipt of payment under this Contract. The Tribe will not withhold any taxes payable by the Contractor on the amounts paid to Contractor under this Contract. |

provided that such affairs do not interfere with the parties' obligations under this Contract.

Contractor and the Tribe shall each retain its right to conduct its own separate business affairs,

7. Representations and Warranties of Contractor.

Contractor represents and warrants to the Tribe that he is not subject to any obligations, contracts, or restrictions that would prevent him from entering into or carrying out the provisions of this Contract. Contractor further represents and warrants that he has all of the qualifications, education, experience and skills required to complete the work intended to be completed under this Contract. If Contractor is not so qualified, his lack of qualification is grounds for immediate termination of this Contract by the Tribe without liability. Contractor shall devote his best efforts to carry out the work required by this Contract is accordance with the standard of care, skill and diligence normally adhered to by a person in this field providing similar services.

8. Termination.

This Contract may be terminated by either party at any time without cause by giving thirty (30) days advance written notice of such termination to the other party. Contractor shall only be paid for work performed and reasonably billed for prior to the effective date of termination except as may be stipulated in Attachment 1.

Contractor's obligations under Articles 9 through 11 shall survive, and shall not be affected by, termination of this Contract.

9. Indemnification.

Contractor shall be responsible for any wrongful or negligent acts or omissions

performed by him, his employees or his subcontractors associated with his performance under this Contract and agrees to indemnify and hold the Tribe harmless from any liability or damage to person or property that arises from or is related to any such act or omission, including any attorney fees that may be incurred.

10. Confidentiality.

Contractor acknowledges that all information related to Contractor's work under this Contract, including all findings, reports, and other information either provided directly or indirectly by the Tribe in connection with the Contract or developed,

compiled or created by Contractor in performing his services under this Contract, and all improvements made or conceived by Contractor under this Contract, is confidential and proprietary information owned by, and of great value to, the Tribe. Accordingly, Contractor agrees not to disclose any such confidential information to any person without the prior, written authorization of the Chairman of the Hualapai Tribe.

Regardless of how or when this Contract is terminated, within five (5) working days of completion of the work under this Contract, Contractor shall deliver to the Tribe all copies (including those on computer disk of other electronic medium) of all documents, drawings, specifications, and other materials or information which were furnished directly or indirectly by the Tribe to Contractor in connection with this Contract or which were prepared or acquired by Contractor in performance of services under this Contract.

Contractor shall not use any of the proprietary information described in this paragraph for anyone other than the Tribe's benefit.

11. Intellectual Property.

The title to all work completed by Contractor under or associated with this Contract shall be in the Tribe. Contractor will promptly disclose to the Tribe all inventions, improvements, designs, publications and ideas made or conceived by Contractor in the course of or associated with providing services under this Contract, regardless of whether Contractor develops those inventions, improvements, designs, publications or ideas after the termination on this Contract. Contractor agrees to assign to the Tribe all right and title to all such inventions, improvement, designs, publications and ideas, and all copyrights, patents, and royalties associated with or derived from such ideas.

12. Amendment.

This Contract may be amended only by a written document signed by the Contracting Officer, the Grants and Contracts Compliance Officer to the Tribe and by the Contractor, and approved by the Chairman or Vice Chairman of the Tribe.

13. Assignment.

All rights and obligations under this Contract are personal to Contractor, and Contractor may not assign this Contract, or any rights or obligations hereunder, to any person. Any such attempted assignment shall be void.

14. Governing Law.

This Contract shall be governed by the laws and ordinances of the Hualapai Tribe. All claims arising under or related to this Contract shall be brought to the Contract Officer, Grants & Contract Officer then to the Hualapai Tribal Court.

| CONTRACTOR | : : |
|------------------|---|
| В | у |
| | Authorized Officer |
| HUALAPAI TRIE | BE: |
| В | y: |
| | Planning Department Contract Officer |
| | |
| В | y: |
| | Grants and Contracts Compliance Officer |
| | |
| В | y: |
| | Chairman or Vice Chairman |
| | |
| Program to be c | harged <u>:</u> |
| Contract/Grant N | No.: |
| Line Item/ Accou | unt # <u>:</u> |

ATTACHMENT F Draft Public Participation Plan

Draft Public Participation Plan to adopt the Master Plan for the Hualapai Tribe January 23, 2023

The Hualapai Tribal Council is eager to adopt a Master Plan for the Hualapai Reservation, its trust lands and private property holdings. The draft plan has been in development for over a decade. Council has expressed their interest in employing an open public process to update the draft Master Plan. An open public process is essential to all good planning and must include "early, meaningful and continuous" public participation during the creation of the planning document.

A draft public participation plan should include ways to involve both those who regularly follow council meetings and activities as well as those who do not, because all will be affected by the implementation of the Master Plan over the coming decades. A basic outline includes:

- A. Selection of a Master Plan Committee to guide the planning process,
- B. Selection of appropriate locations and times to hold workshops and meetings,
- C. Notification methods for these public workshops and public meetings,
- D. Ways to incorporate public input taken at that these workshops and meetings into the draft document,
- E. Ways the public can participate in the planning process without attending the meetings and workshops in person,
- F. Role of the Interdisciplinary Team (IDT) and Tribal Environmental Review Commission (TERC) to monitor, review and provide input to the master planning process, and
- G. Presentation of draft the Master Plan to Tribal Council and ways to incorporate public input taken at that meeting into the draft document before adoption by Tribal Council.

The drafting and adoption process lends itself to much back and forth between the public, the Master Plan Committee, IDT, TERC and the Council. This iterative process is open to all and will provide the transparency and community support required to successfully implement the Master Plan.

_

¹ See "ftp" link for draft Master Plan:

Draft Outline

1. Selection of Master Plan Committee Members

- a. Council appoints members from various tribal standing committees, commissions and boards (see attached list)
- b. Council appoints among those volunteering to be on the Master Plan Committee:
 - i. Early volunteers
 - ii. Volunteers who attend Master Plan Committee Formation Meeting (see below)

2. Master Plan Committee Formation Meeting

- a. Elect Chair and Vice-Chair
- b. Planning Department presentation:
 - i. Draft Master Plan (post on tribe's web site)
 - ii. Master Plan Briefing Book (post on tribe's web site)
 - iii. Review draft public survey instrument to gather input on the Master Plan
- c. Select date and time for first Public Workshop/Meeting as noted in Nos. 3 and 4 below

3. Selection of Workshop/Meeting Locations and Times

- a. Locations should be easily accessible, have adequate room to seat attendees and known to the community
- Meeting times are generally set after 5:00 PM on week nights with Tuesday,
 Wednesday and Thursday being the most opportune
- c. Planning to provide refreshments and possibly dinner if requested by Master Plan Committee

4. Notification Process for Public Workshops and Meetings

- a. All notifications should be made at least a week in advance of the workshops/meetings. Methods of notice include:
 - i. Gamyu bi-weekly newsletter
 - ii. Fliers (Tribal Office, Elderly Center, Walapai Market, Post Office, Multi-Purpose Building)
 - iii. Posting Master Plan Committee agendas at tribal office & on webpage
 - iv. Tribal Website (create new page) & interactive map page (3rd-party platform)
 - v. Public service announcements on KWLP 100.9 FM
 - vi. E-mail
- b. Notifications should contain the date, time and meeting location, a general explanation of the issue, a general description of the area of proposed changes, an

- explanation of how comments may be filed with the Master Plan Committee and an invitation to comment to Planning staff before the meeting
- c. Notification to agencies such as ADOT, BNSF, BIA, neighboring counties, tribal departments, Grand Canyon Resort Corporation (GCRC), Peach Springs Unified School District No. 8, utility providers, and others as needed

5. Public Workshops and Public Meetings of Master Plan Committee

- a. Public Workshop to precede Public Meeting:
 - i. Presentation of Draft Master Plan's Goals and Policies
 - ii. Participant comments on draft Master Plan
 - iii. Set date of future workshops as needed (suggest one midway through Committee's work for land use diagrams and one before TERC presentation)
- b. Public Meeting to follow Public Workshop:
 - i. Review and discuss Public Comments and how they affect:
 - 1. Vision Statement
 - 2. Goal and Policies
 - 3. Background information
 - ii. Update vision statement, goals and polices and background to address public comments
 - iii. Set date of next Public Meeting of Master Plan Committee.

6. Planning to provide updates on Master Plan Committee's Progress

- a. Provide periodic updates to IDT, TERC, Hualapai Tribal Utility Authority (HTUA), Housing Board, Cultural Advisory Team (CAT), Hualapai Soil and Water Conservation District (HS&WCD), Elderly Program and others as invited to do so by their respective chairperson and relay comments to Master Plan Committee
- b. Provide updates to tribal council at their regular monthly meetings and relay comments to Master Plan Committee
- c. Schedule periodic interviews on KWLP 100.9 FM
- d. Post Master Plan Committee meeting minutes on tribe's website (see 4.a.iii above)

7. Tribal Environmental Review Commission (TERC) Review of Draft Master Plan

- a. Review Master Plan Committee's draft and consider incorporation of public comments from prior public meetings into the Master Plan, as necessary
- b. Incorporation of TERC comments into the Master Plan
- c. Possible Actions:
 - i. Transmit to Tribal Council
 - ii. Table and reschedule for discussion at a future TERC meeting

iii. Recommend Master Plan Committee hold additional public meetings to address comments before proceeding.

8. Availability of Draft Master Plan

- a. Provide draft plan to the public and agencies 45 days prior to Tribal Council hearing.
- b. Notification of draft plan availability and solicitation of comments:
 - i. Gamyu bi-weekly newsletter
 - ii. Fliers (Tribal Office, Elderly Center, Walapai Market, Post Office, Multi-Purpose Building)
 - iii. Tribal Website (create new page) & interactive map page (3rd-party platform)
 - iv. Public service announcements on KWLP 100.9 FM
 - v. Radio interview with Planning and Master Plan Committee chair
 - vi. E-mail
- c. Plans will be made available at the following locations:
 - i. Tribal Office
 - ii. Planning Office
 - iii. Cultural Center
 - iv. Walapai Market
 - v. Grand Canyon West
 - vi. Tribal website
- d. Solicitation of comments on draft Master Plan
 - Provide name, mailing address and e-mail contact for Planning Department on all solicitations for comments
 - ii. Specify time frame for comment period
 - iii. Assemble public comments and create a report for Tribal Council to be heard in conjunction with the Master Plan presentation.

9. Tribal Council Hearing of Draft Master Plan

- a. Review Master Plan Committee's draft and consider incorporation of public comments from prior public meetings into the Master Plan, as necessary.
- b. Consideration of TERC comments and incorporation of those comments into the Master Plan as necessary.
- c. Review of Master Plan and incorporation of Council comments into the Master Plan
- d. Actions:
 - i. Adopt
 - ii. Adopt as amended
 - iii. Table and reschedule for future Council meeting
 - iv. Recommend TERC coordinate with Master Plan Committee to hold additional public meetings to address comments before council consideration.